



1. Sterling Trader ID: _____
2. Full Name: _____
3. Address, City, State, Zip, Country: _____

4. Phone Number: _____
5. Email Address: _____
5. Employer: _____
6. Occupation: _____
7. Job Title: _____

Pro Non-Pro Questions (Please select YES or NO)

1. Is the Subscriber a natural person who will receive market data solely for his/her personal, nonbusiness use?
 YES NO
2. Are you registered/qualified with any state, federal or international securities agency or self-regulatory body including Securities and Exchange Commission, Commodities Future Trading Commission, any securities/exchange association, or any commodities/futures contract market/association?
 YES NO
3. Are you engaged as an “investment advisor” as that term is defined in Section 202(a)(11) of the Investment Advisors Act of 1940 (whether or not registered or qualified under that Act)?
 YES NO
4. Are you engaged in a financial services business or employed as a financial adviser or acting on behalf of an institution that engages in brokerage, banking, investment or financial activities?
 YES NO

5. Are you employed by a bank or other organization exempt from registration under federal or state securities laws to perform functions that would require registration or qualification if such functions were performed for an organization not so exempt?
 YES NO
6. Is your trading activity related to a corporation, partnership, or similar organization whereby their primary purpose is to trade for the benefit of the organization?
 YES NO
7. Are you using data for a business, professional or other commercial purpose not compatible with Non-Professional Status?
 YES NO
8. Are you in contract for, receives, or uses information for the purpose of Private Use on behalf of any other person or any corporation, partnership, Limited Liability Company, trust, association or other form of entity?
 YES NO
9. Are you receiving office space and equipment or other benefits in exchange for your trading or work as a financial consultant to any person, firm or business entity?
 YES NO
10. Do you use the capital of any other individual or entity in the conduct of your trading?
 YES NO
11. Do you list yourself as employed by a financial services firm on LinkedIn, Facebook or Twitter?
 YES NO
12. If you are outside of the country, do you perform any functions that are similar to those that require an individual to register with the SEC, the Commodities Futures Trading Commission, any securities exchange or association, or any commodities or futures contract market or association?
 YES NO

DATE: _____

***BY TYPING MY SIGNATURE AND SENDING IT VIA THE INTERNET, I ACKNOWLEDGE THAT I HAVE READ AND UNDERSTAND ALL INFORMATION PROVIDED DURING THE APPLICATION PROCESS AND THAT I UNDERSTAND AND AGREE THAT MY ELECTRONIC SIGNATURE IS THE EQUIVALENT OF A MANUAL WRITTEN SIGNATURE.**

SIGNATURE: _____